

NOV 01 2010

#6

PTO/SB/65 (03-09)

Approved for use through 03/31/2012. OMB 0851-0016
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION TO ACCEPT UNAVOIDABLY DELAYED PAYMENT OF
MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Docket Number (Optional)

RECEIVEDMail to: Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450
Fax: (571) 273-8300

NOV 05 2010

OFFICE OF PETITIONS

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at
(571) 272-3282.Patent Number: US 6,711,268 B2Application Number: 09/849,820Issue Date: Mar. 23, 2004Filing Date: May 4, 2001

CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

Also complete the following information, if applicable:

The above-identified patent

☐ is a reissue of original Patent No. N/A original issue date _____;
original application number _____;
original filing date _____☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international application
_____ filed on _____**CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is

(1) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 OR

(2) transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

Oct. 31, 2010

Date



Signature

Arthur Barry Colegrave

Typed or printed name of person signing Certificate

[Page 1 of 4]

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

02/22/2011 CML0K

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NOV 01 2010

PTO/SB/65 (03-09)

Approved for use through 03/31/2012. OMB 0651-0016

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1. SMALL ENTITY

☒ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27

2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

3. MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

NOT Small Entity			Small Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)
<input checked="" type="checkbox"/> \$ _____	3 ½ yr fee	(1551)	<input checked="" type="checkbox"/> \$ 490.00	3 ½ yr fee	(2551)
<input type="checkbox"/> \$ _____	7 ½ yr fee	(1552)	<input type="checkbox"/> \$ _____	7 ½ yr fee	(2552)
<input type="checkbox"/> \$ _____	11 ½ yr fee	(1553)	<input type="checkbox"/> \$ _____	11 ½ yr fee	(2553)

MAINTENANCE FEE BEING SUBMITTED \$ 490.00

4. SURCHARGE

The surcharge required by 37 CFR 1.20(i)(1) of \$ 700.00 (Fee Code 1557) must be paid as a condition of accepting unavoidably delayed payment of the maintenance fee.

SURCHARGE FEE BEING SUBMITTED \$ 700.00

5. MANNER OF PAYMENT

- ☐ Enclosed is a check for the sum of \$ _____
- ☐ Please charge Deposit Account No. _____ the sum of \$ _____
- ☒ Payment by credit card. Form PTO-2038 is attached.

6. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

- ☐ The Director is hereby authorized to charge any maintenance fee, surcharge or petition fee deficiency to Deposit Account No. _____

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PTO/SB/65 (03-08)

Approved for use through 03/31/2012. OMB 0851-0018
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

7. OVERPAYMENT

As to any overpayment made, please

☐ Credit to Deposit Account No. _____

OR

☒ Send refund check

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

8. SHOWING

The enclosed statement will show that the delay in timely payment of the maintenance fee was unavoidable since reasonable care was taken to ensure that the maintenance fee would be paid timely and that this petition is being filed promptly after the patentee was notified of, or otherwise became aware of, the expiration of the patent. The statement must enumerate the steps taken to ensure timely payment of the maintenance fee, the date and the manner in which the patentee became aware of the expiration of the patent, and the steps taken to file the petition promptly.

9. PETITIONER(S) REQUESTS THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.

A. B. Colegrave
Signature(s) of Petitioner(s)
Arthur Barry Colegrave
Typed or printed name(s)
21/68 Remuera Road, Remuera, Auckland 1050.
Address
New Zealand
Address

Oct. 31, 2010
Date
N/A
Registration Number, if applicable
+64-21-250-8091
Telephone Number

ENCLOSURES:

- ☒ Maintenance Fee Payment
☒ Statement why maintenance fee was not paid timely
☒ Surcharge under 37 CFR 1.20(i)(1) (fee for filing the maintenance fee petition)
☐ Other: _____

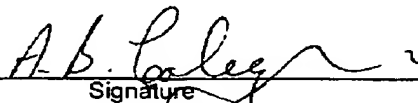
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37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."



Arthur Barry Colegrave

Type or printed name

Oct. 31, 2010

Date

N/A

Registration Number, if applicable

STATEMENT

(In the space below, please provide the showing of unavoidable delay recited in paragraph 8 above.)

21st October 2007.

While on vacation in Honolulu, I sent the following documents to the USPTO Maintenance Fee Branch, by USPS first class mail, from the US Post Office at the Ala Moana Center:

1. A completed Maintenance Fee Transmittal Form,
2. A Bank of America cheque for US\$490.00, being the 31/2 year maintenance fee.
3. A Change of Correspondence Address application.
4. A covering letter for the above documents (see copy attached).

I received no response or communication from the USPTO, and assumed this matter completed.

6th October 2010.

On the advice of our estate planner, I sent the following documents to the USPTO Maintenance Fee Branch, by New Zealand Post first class mail:

1. A completed Maintenance Fee Transmittal Form,
2. A bank draft for US\$1,240.00, being the 71/2 year maintenance fee (in advance).
3. A covering letter for the above documents.

28th October 2010.

I received a letter from Dorethea Allen, in the Maintenance Fee Branch, advising that the 31/2 year maintenance fee had never been received, and that our Patent had expired. Dorethea's letter was the first that we knew of a problem with our Patent.

31st October 2010.

I completed a Petition Application form and faxed same to the Maintenance Fee Branch.

(Please attach additional sheets if additional space is needed)

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Transaction List

Search by

☐ Accounting Date Operator ID:
(MMDDYY)

Accounting Date

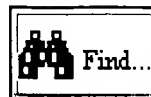
Starts:

Ends:

☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No.



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number
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10/25/2005	INTOEMS	96	SALE	8001	A	3.00	6711268
04/20/2004	RAMOEMS	478	SALE	8001	A	3.00	6711268



Adjustment Detail

Authorization Detail



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Maintenance Fee History

Patent Number: 6711268

Status: EXPIRED FOR NON-PAYMENT ON: 03/23/2008

Maintenance History

03/23/2008	EXP.	PATENT EXPIRED FOR FAILURE TO PAY MAINTENANCE FEES.
10/01/2007	REM.	MAINTENANCE FEE REMINDER MAILED.
END OF MAINTENANCE HISTORY		



Transaction List

Search by

Accounting Date Operator ID:
(MMDDYY)



Name/Number: 6711268

Starts:

Ends:

Attny Docket No:

Deposit Account No:

Accounting Date	Operator ID	Seq No.	Txn Src	Fee Code	St	Amount	Name/Number
10/20/2010	DALLEN	18	SALE	1599	A	1,240.00	6711268
10/25/2005	INTOEMS	96	SALE	8001	A	3.00	6711268
04/20/2004	RAMOEMS	478	SALE	8001	A	3.00	6711268



Adjustment Detail

Authorization Detail



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Maintenance Fee History

Patent Number: 6711268

Status: EXPIRED FOR NON-PAYMENT ON: 03/23/2008

Maintenance History

03/23/2008	EXP.	PATENT EXPIRED FOR FAILURE TO PAY MAINTENANCE FEES.
10/01/2007	REM.	MAINTENANCE FEE REMINDER MAILED.
		END OF MAINTENANCE HISTORY



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